

**Pattern Recognition**  
**Exam on 2008-02-04**

**NO OPEN BOOK! GEEN OPEN BOEK!** - It is not allowed to use the course book(s) or any other (printed, written or electronic) material during the exam.

Give sufficient explanations to demonstrate how you come to a given solution or answer!

The 'weight' of each problem is specified below by a number of points, e.g. (20 p).

**1. (20 p) Minimum error classification. Missing features.**

Consider a two-dimensional, three-category pattern classification problem with priors  $P(\omega_1) = 0.5$ ,  $P(\omega_2) = 0.25$ ,  $P(\omega_3) = 0.25$ . We define the 'square distribution'  $S(\mu, a)$  to be uniform inside a square of size  $a \times a$  (i.e. side length  $a$ ) centered on  $\mu$ , and elsewhere 0. The sides of the square are parallel to the coordinate axes. The class-conditional probabilities for the three categories  $\omega_1$ ,  $\omega_2$ , and  $\omega_3$  are such square distributions  $S(\mu_i, a_i)$ ,  $i = 1, 2, 3$ , with the following parameters:

$$\omega_1: \mu_1 = (0, 0), a_1 = 3; \quad \omega_2: \mu_2 = (-1, 1), a_2 = 1; \quad \omega_3: \mu_3 = (1, -1), a_3 = 2.$$

a) (6 p) Classify the points (1,1), (0.5,-0.5) and (-0.7,1) with minimum probability of error.

b) (14 p) Classify with minimum probability of error the patterns (\*,1) and (1,\*), where \* denotes a missing feature.

**2. (20 p) Binary decision trees.** Consider the following multi-set  $S$  of fruits, represented as four-feature patterns in a ten-category problem. Each pattern is defined by four features (colour, size, shape, texture) which can take the following values:

colour: y(ellow), g(reen), r(ed), b(lue), o(range);

size: xs (extra small), s(mall), m(edium), l(arge), xl (extra large);

shape: r(ound), e(lipsoidal), n(arrow), rcv (round with concavity);

texture: s(mooth), c(itrus) .

Each pattern is labeled by a category label lemon, apple, banana, orange, melon, water melon, peach, grapes, blue berry or mango. The labeled patterns in the multi-set  $S$  are:

$S = \{$  labeled as lemon: (y,m,r,c), (g,m,r,c), (y,m,e,c);  
labeled as apple: (r,m,rcv,s), (g,m,rcv,s), (y,m,rcv,s);  
labeled as banana: (y,m,n,s), (g,m,n,s), (y,l,n,s);  
labeled as orange: (o,m,r,c), (o,m,r,c);  
labeled as melon: (y,l,r,s), (y,l,e,s), (g,l,e,s);  
labeled as water melon: (g,xl,r,s), (g,l,r,s);  
labeled as peach: (y,m,rcv,s), (r,m,rcv,s);  
labeled as grapes: (b,s,r,s), (g,s,r,s), (y,s,r,s);  
labeled as blue berry: (b,xs,r,s), (b,xs,r,s);  
labeled as mango: (g,m,e,s), (y,m,e,s)  
 $\}$

a) Compute the misclassification impurity of  $S$ . ( $i(S) = 1 - \max_j P(\omega_j)$ )

b) Split  $S$  in two multi-subsets  $L$  and  $R$  using the following rule and compute the impurity drop achieved by this split: Q1: "Put a pattern in  $L$  if ( $size = m$ ), otherwise put it in  $R$ ."

c) Split  $S$  in two multi-subsets  $L$  and  $R$  using the following rule and compute the impurity drop achieved by this split.: Q2: "Put a pattern in  $L$  if ( $colour = y$  OR  $o$  OR  $r$ ), else put it in  $R$ ."

- d) Which of the two rules Q1 and Q2 would you use for building a decision tree? Why?
- e) Take the subset L obtained with the optimal rule from part d above and split it into two subsets LL and LR using a rule of your choice that concerns the shape or the texture feature. Compute the impurity drop achieved by that split.

**3. (20 p) Learning vector quantization (LVQ). K-means algorithm.** Describe the basic LVQ algorithm (LVQ1) and the k-means algorithm. What are the similarities and differences between these two algorithms.

**4. (10 p) Receiver operating characteristics.** What do you understand by a receiver operating characteristics (ROC)? To which class of problems does it apply? What is the common property of points that lie on the same ROC curve?

**5. (10 p) UPC and natural patterns.** What are the main differences between the Universal Product Code (UPC) and feature vectors extracted from natural objects?

**6. (10 p) Iris pattern recognition.** Assume that you are given a set of 100 000 binary feature vectors, each of which is a binary code of the iris pattern of a person. The set contains 100 iris codes of each of 1000 persons. Describe how you would use this data to design an authentication system based on statistical decision theory.

**7. (10 p) Eigenfaces.** What is an eigenface and how is this concept used in face recognition?